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IAP12 Rec'd PCT/PTO 28 APR 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 06-275)

In re Application of:)	
)	
Crabtree et al.)	Examiner: TBD
)	
Serial No.: TBD)	Art Unit: TBD
)	
Filed: April 28, 2006)	
)	
For: PROCESS FOR THE PRODUCTION)	
OF LACTAMS)	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the non-patent references are enclosed. Copies are also listed in the PTO/SB/08B form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within

the meaning of 35 U.S.C. sections 102 or 103, nor is this submission to be construed as a representation that a search has been made.

CITED REFERENCES

U.S. Patents

	<u>Patent Number</u>	<u>Name of Patentee</u>	<u>Date</u>
1.	2,351,939	Drossbach et al.	06-20-1944
2.	2,843,600	McKeever	07-15-1958
3.	3,080,377	Liao	03-05-1963
4.	3,109,005	Lidov	10-29-1963
5.	3,637,743	Prince	01-25-1972
6.	3,957,827	Lyons	05-18-1976
7.	4,263,175	Pesa et al.	04-21-1981
8.	4,356,124	Pesa et al.	10-26-1982
9.	4,485,245	Hsu et al.	11-27-1984
10.	4,485,246	Lyons	11-27-1984
11.	4,892,955	Wada et al.	01-09-1990
12.	4,931,573	Wada et al.	06-05-1990
13.	5,021,589	Wada et al.	06-04-1991
14.	5,047,561	Miyazawa et al.	09-10-1991
15.	5,077,442	Hara et al.	12-31-1991
16.	5,079,372	Wada et al.	01-07-1992
17.	5,434,273	Weyer et al.	07-18-1995
18.	5,580,991	Sugiyama et al.	12-03-1996

19.	5,912,358	Frohn et al.	06-15-1999
20.	6,008,375	Bergfeld et al.	12-28-1999

Foreign Patents

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21.	GB 976,939	Himmele et al.	12-02-1964
22.	GB 2 055 798 A	Sweeney	03-11-1981
23.	WO 96/23803 A1	Beatty et al.	08-08-1996
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43. H. Inagaki, S. Nishimura, Y. Hara, K. Wada, "Hydrogenation Reaction of Carbonyl Compounds Catalyzed by Cationic Ruthenium Complexes," Science and Technology in Catalysis 1994, pp. 327-330.
44. Y. Hara, K. Wada, "Hydrogenation Reaction of Carboxylic Anhydrides Catalyzed by a New and Highly Active Cationic Ruthenium Complex," Chemistry Letters 1991, pp. 553-554.
45. R.A. Grey, G.P. Pez, A. Wallo, "Anionic Metal Hydride Catalysts. 2. Application to the Hydrogenation of Ketones, Aldehydes, Carboxylic Acid Esters, and Nitriles," Journal of the American Chemical Society 1981, No. 103, pp. 7536-7542.
46. U. Matteoli, G. Menchi, M. Bianchi, F. Piacenti, "Homogeneous Catalytic Hydrogenation of the Esters of Bicarboxylic Acids -Part III. EthyleneGlycol from Dimethyl Oxalate," Journal of Molecular Catalysis, No. 44, 1988, pp. 347-355.
47. U. Matteoli, G. Menchi, M. Bianchi, F. Piacenti, S. Ianelli, M. Nardelli, "Structure and Catalytic Activity of Phosphine-substituted Ruthenium Carbonyl Carboxylates," Journal of Organometallic Chemistry," Vol. 498, 1995, pp. 177-186.
48. H. Teunissen, C.J. Elsevier, "Ruthenium Catalysed Hydrogenation of Dimethyl Oxalate to Ethylene Glycol," Chemical Communications, 1997, pp. 667-668.
49. H.T. Teunissen, C.J. Elsevier, "Homogeneous Ruthenium Catalyzed Hydrogenation of Esters to Alcohols, Chemical Communications, 1998, pp. 1367-1368.

Respectfully submitted,

McDonnell, Boehnen, Hulbert & Berghoff LLPDate: **April 28, 2006**

By:


James T. Wasciak
Registration No. 50,803

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Substitution for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application No.	TBD
				Filing Date:	April 28, 2006
				First Named Inventor	Simon Peter Crabtree
				Group Art Unit	TBD
				Examiner Name	TBD
				Attorney Docket No.	06-275
Sheet	1	of	3		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Figures Appear
		Number	Kind Code ² (if known)			
	1.	2,351,939		Drossbach et al.	06-20-1944	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English translation is attached.

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Sheet	2	of	3	Attorney Docket No.	06-275

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Sheet	3	of	3	Attorney Docket No.	06-275

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. 1	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	43.	H. Inagaki, S. Nishimura, Y. Hara, K. Wada, "Hydrogenation Reaction of Carbonyl Compounds Catalyzed by Cationic Ruthenium Complexes," Science and Technology in Catalysis 1994, pp. 327-330.	
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